



**AGENTS ATTESTATION OF COMPLIANCE TO AIK POLICIES AND PROCEDURES.**

I have read, understand, and agree to comply with the preceding policies and procedures governing: -

- 1. Treating customers fairly(TCF)
- 2. Data protection Act (DPA)
- 3. Anti-Bribery and Anti-Corruption (ABAC)
- 4. Anti-Money Laundering and Combating of Terrorism Financing (AML & CTF)
- 5. Conflict of Interest
- 6. Intermediary management

I understand that in my engagement with the client and AIK, I must comply with the above policies and procedures. I understand that violation of the above policy may subject me to disciplinary action, including termination of my services to AIK, legal action, and/ or criminal liability.

Furthermore, I understand that this policy can be amended at any time, and I confirm that I shall ensure compliance at all times.

Agency Name/Company: .....

Names (in block capitals) of the Agent (Person).....

Signature: .....

Date: .....